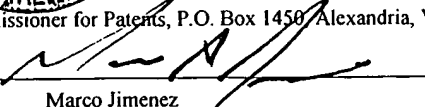


PATENT  
Docket No. 490962001000

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EL981958925US in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on July 14, 2004.

  
Marco Jimenez

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Iain A. NEIL et al.

Serial No.: 09/447,837

Filing Date: November 23, 1999

For: METHOD AND OBJECTIVE LENS  
FOR SPECTRALLY MODIFYING  
LIGHT FOR AN ELECTRONIC  
CAMERA

Examiner: James M. Hannett

Group Art Unit: 2612

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_ is enclosed.
  - ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

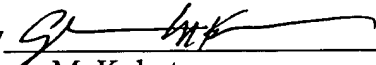
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

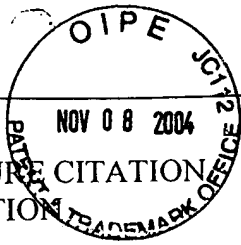
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 490962001000. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: July 14, 2004

Respectfully submitted,

By   
Glenn M. Kubota  
Registration No.: 44,197  
MORRISON & FOERSTER LLP  
555 West Fifth Street, Suite 3500  
Los Angeles, California 90013  
(213) 892-5752  
Attorneys for Applicant(s)



Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 490962001000

Application Number 09/447,837

Applicant

Iain A. NEIL et al.

Filing Date November 23, 1999

Group Art Unit 2612

Mailing Date July 14, 2004

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	09/21/1976	3,981,562	Anthon			
	2.	01/25/1994	5,282,043	Cochard et al.			
	3.	08/15/1995	5,442,438	Batchelder et al.			
	4.	11/24/1998	5,841,577	Wachman et al.			
	5.	09/14/1999	5,953,082	Butcher			
	6.	03/21/2000	6,040,857	Hirsh et al.			
	7.	04/17/2001	6,218,081 B1	Nishiwaki			
	8.	02/10/2004	6,690,467 B1	Reel			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	9.	03/10/1993	2 259 373 A	Great Britain			X

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



Express Mail Label No. EV375956098US  
PATENT  
Docket No. 490962001000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Iain A. NEIL et al.

Serial No.: 09/447,837

Filing Date: November 23, 1999

For: METHOD AND OBJECTIVE LENS  
FOR SPECTRALLY MODIFYING  
LIGHT FOR AN ELECTRONIC  
CAMERA

Examiner: James M. Hannett

Group Art Unit: 2612

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on both of the attached Forms PTO-1449. The documents listed on the Form 1449 dated July 14, 2004 were not considered by the Examiner because they were mailed to the Patent Office the same day the Examiner mailed the final office action. Therefore, copies of those publications are not attached with this paper because they have already been received by the Patent Office. Copies of the documents listed on Form PTO 1449 dated November 8, 2004 are submitted herewith. The Examiner is requested to make the documents of record as listed on both of the enclosed 1449 forms.

The documents listed on the attached Form PTO-1449 dated November 8, 2004 were cited in a European search report mailed on September 21, 2004 (copy attached) directed to a counterpart foreign application. A certification under 37 C.F.R. § 1.97(e)(1) follows:

I hereby certify that each item of information cited in the attached European search report was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

This Information Disclosure Statement is submitted:

- ☒ With the filing of the enclosed Request for Continued Examination; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_\_ is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_\_ is enclosed.

☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)


Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **490962001000**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 8, 2004

Respectfully submitted,

By   
Glenn M. Kubota  
Registration No.: 44,197  
MORRISON & FOERSTER LLP  
555 West Fifth Street, Suite 3500  
Los Angeles, California 90013  
(213) 892-5752  
Attorneys for Applicant(s)



Form PTO-1449

INFORMATION DISCLOSURE  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 490962001000

Application Number 09/447,837

Applicant

Iain A. NEIL et al.

Filing Date November 23, 1999

Group Art Unit 2612

Mailing Date November 8, 2004

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	04/16/1991	5,007,719	A. Hasegawa			
	2.	07/02/1991	5,029,010	A. Shiraishi			
	3.	10/02/2001	6,297,912	H. Goto			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	4.	11/25/1988	63-87922	Japan			Abstract
	5.	10/05/1994	0 618 470	EPO			X
	6.	03/31/2000	2000-089114	Japan			Abstract

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

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